





Date: April 29, 2024

**To** BSE Limited P J Towers Dalal Street, Fort, Mumbai – 400001

# Subject: <u>Compliance Certificate under Regulation 40(9) and 40(10) of SEBI</u> (LODR), 2015 for the year ended March 31, 2024

## Scrip Code: <u>531191</u>

Pursuant to Regulation 40(9) and 40(10) of the SEBI (Listing Obligations Disclosure Requirements) Regulations, 2015, please find enclosed herewith Compliance Certificate issued for the year ended March 31, 2024.

Kindly take the same on your record.

Thanks and Regards

## For Filtron Engineers Ltd

RAMESH MRITHYUNJA YA HOSMANE



Ramesh Hosmane Chief Financial Officer

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#### CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LODR) REGULATIONS FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2024.

I have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **Filtron Engineers Limited** maintained by **Purva Sharegistry (India) Pvt. Ltd** pertaining to transfer of equity shares of the Company for the period from **01**<sup>st</sup> **April, 2023 to 31**<sup>st</sup> **March, 2024** for the purpose of issuing a certificate as per Regulations 40(9) of the SEBI (LODR) Regulations, 2015 and based on the information provided by the Company, I hereby certify that the Company has delivered during year ended **31**<sup>st</sup> **March, 2024**.

- A) Share Certificate(s) relating to the Share Transfer form(s) received during the year from 01<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024 as entered in the Memorandum of Transfers have been issued within one month from the respective date of lodgement of each from excepting those rejected on technical ground. Not Applicable as there were no request received during the said period.
- B) Share Certificates in respect of requests for exchange of duplicate share certificate(s), subdivision, consolidation, renewal, exchange or endorsement of calls/allotment monies as the case may be the certificates have been issued within thirty days of lodgement. – The Company had received following request as per the data received from RTA.
  - There were total 2 request received for Duplicate Share Certificate, however 1 request was approved within 30 days and 1 request was pending for More Than 30 days.
  - There were total 6 request received for Consolidation, however 3 request were approved More Than 30 days and 3 request objected Within 30 days.
  - There was total 1 request received for change of name, however the same was approved Within 30 days.

### For Pooja Gala & Associates (Practicing Company Secretary)

Pooja Amit Gala Digitally signed by Pooja Amit Gala Date: 2024.04.29 12:44:26 +05'30'

Pooja Amit Gala ACS No: 69393 COP No: 25845

ICSI UDIN: A069393F000263598 Peer Review Number: 2423/2022

Date: 29-04-2024 Place: Thane